

MAIL STOP PCT

Attorney Docket: 27144U Date: April 27, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Art Unit: Unknown Examiner: Unknown

SCHMIDT

Appl. No.: 10/565,526

Filed: January 23, 2006
Intl. Appl. No.: PCT/EP2004/051680

Intl. Filing Date: July 30, 2004
For: NOVEL 6-PHENYLPHENANTHRIDINES

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

1) Information Disclosure Statement;

2) PTO Form-1449 with 17 cited References, and copies for 12 of the 17 cited References.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted, NATH & ASSOCIATES PLLC

Gary M. Nath, Reg. No. 26,965 Sheldon M. McGee, Reg. No. 50,454

Customer No. 34375

NATH & ASSOCIATES PLLC 112 South West Street Alexandria, VA 22314

GMN/SMM/le



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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. 1.97-1.98. Please note the following particulars: [NOTE: One only of items a, b, c, and d must be checked.]

- [XXX] a. The enclosed statement is being filed within three months of the filling date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- [] b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311.

The enclosed statement is accompanied by [check one]:

- [] i. a certification in part (e) below as specified in 37 C.F.R. 1.97(e), or
- [] ii. a check in the amount required by 37 C.F.R. 1.17(p).

MAIL STOP PCT Attorney Docket No.: 27144U Appl. No.: 10/565,526 Page 2

ı	, c.	The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311, but before payment of the issue fee. [] Certification report(e) below; and [] a check in the amount as required by 1.17(p).
[] d.	The enclosed statement is being filed pursuant to 37 C.F.R. 1.97(i), for placement in the file.
[] e.	Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]
	[] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, Or
	[I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
		<u>or</u>
	[] Appropriate certification is attached.

communication to Deposit Account No. 14-0112.

[XXX] g. Copies of the documents are attached herewith with a completed Form PTO-1449.

[XXX] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this

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or

 Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:

and / or

[XXX] Copies of US Patents/Publications not attached as allowed in Official Gazette Aug. 5, 2003/ vol. 1273, no. 1.

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted, NATH & ASSOCIATES PLLC

Gary M. Nath, Reg. No. 26,965 Sheldon M. McGee, Reg. No. 50,454

Customer No. 34375

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Page 1 of 2 Attorney Docket No.: 27144U In re Application of: Art Unit: SCHMIDT FORM PTO-1449 Unknown Filed: Appl. No: Examiner: INFORMATION DISCLOSURE CITATION 10/565,526 January 23, 2006 Unknown U.S. PATENT DOCUMENTS Examiner Issue / Initial Document Number Publication Date Inventor Filed 6,410,551 /B.D. (corresponds to A1 WO 99/05111) 25 Jun. 2002 Gutterer 18 Jul. 1998 6,191,138 /R.Dl/ (corresponds to A2 WO 97/28131) 20 Feb. 2001 Gutterer 30 Jan. 1997 6,127,378 /R.D./ (corresponds to A3 WO 97/35854) 3 Oct. 2000 Gutterer 24 Mar. 1997 6,121,279 /R.D./ (corresponds to A4 WO 99/05113) 19 Sep. 2000 Gutterer 18 Jul. 1998 FOREIGN PATENT DOCUMENTS Examiner Initial Document Number Publication Date Country Translation /R.D./ A5 2005/012252 A1 10 Feb. 2005 WO N/A A6 00/42018 A1 20 Jul. 2000 /R D / WO N/A Α7 97/35854 A1 2 Oct. 1997 /R.D./ WΩ Abstract A8 00/42034 A1 20 Jul. 2000 WO N/A /R.D. A9 00/42020 A1 20 Jul. 2000 WO N/A OTHER Examiner

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Examiner

Date Considered 05/08/2009

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP ' 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Page 2	2 (of 2				Attorney		MAIL STOP PCT No.: 27144U			
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